

SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK

WALTER ARNSTEIN, INC.,

Plaintiffs,

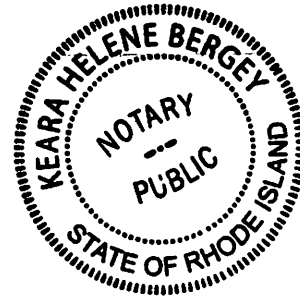
-against-

AX TRADING GROUP, INC., and VICTOR
ANGERMUELLER,

Defendants.

Index No. 654254/2019

QUESTIONNAIRE FOR
INFORMATION SUBPOENA



STATE OF Rhode Island) ss.

COUNTY OF Washington)

I, VICTOR ANGERMUELLER, being duly sworn, depose and say under penalties of perjury:

I am the recipient of an information subpoena, together with an original and copy of a questionnaire in connection thereto, with respect to VICTOR ANGERMUELLER ("You", "Your", or "Judgment Debtor"). The provided responses to the respective questions set forth below are true and correct to the best of my knowledge and belief:

Question 1: What is Your full name and every name by which You have been known or held yourself out as having in the last 7 years?

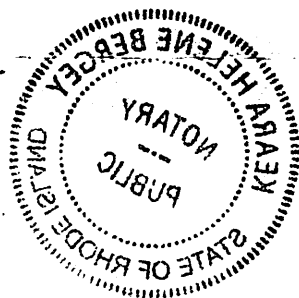
Answer 1: Victor E. Angermueller
Victor Edward Angermueller

Question 2: What is Your residential address and every residential address You have had, or held yourself out as having, in the last 7 years?

Answer 2: End Dec 22, 2020: 151 Weeburn Dr. New Canaan, CT 06840
Jan/2021 - Dec 31 27 High Ridge Rd, Pawcatuck, CT 06379
Jan 2022 - current 32 Settling Sun Dr, Westerly, RI 02891

Question 3: What is Your business address and every business address You have had, or held yourself out as having, in the last 7 years?

Answer 3: Independent Consultant
Home addresses



I, the undersigned, do hereby certify that the foregoing is a true and correct copy of the original as the same appears in the records of the State of Rhode Island.

Witness my hand and the seal of the State of Rhode Island at the City of Providence, this 15th day of June, 2010.

Notary Public for the State of Rhode Island

KAREY HELENE BERGEY
Notary Public for the State of Rhode Island
My Commission Expires 06/01/2011

Notary Seal

Question 4: What is Your social security number?

Answer 4:

Question 5: What is Your date of birth?

Answer 5:

1/3/1957

Question 6: For every account, bank account, financial account, savings account, brokerage account, investment account, securities account, money market account, certificate of deposit, and/or individual retirement account (IRA) in Your name (alone or together with another or others), in which You have/had an interest, over which You have/had access or control, and/or to which You are/were a signatory, whether under Your name or under a trade name or corporate name, as of the date of Your response hereto or within 7 years prior thereto, indicate (i) the name of the bank or financial institution at which the account is/was maintained or held, (ii) the number of the account, (iii) the title of the account, (iv) the name(s) on the account, (v) the amount currently on deposit, (vi) the largest/highest amount on deposit in the last 7 years, and (vii) if applicable, the date the account was closed.

Answer 6: Personal:

1. Citibank N.A. (NYC)

2. Venmo Debit Acct

Corp.

3. Disruption Capital Partners

Chase

West Brickell, Miami

Branch

Closed 6/21

Highest
Balance
\$25,000
\$3,000

\$25,000

Closed 6/22

Question 7: For every share, stock, security, mutual fund, exchange traded fund, bond, future, option, and/or other financial investment which You own or in which You have an interest, or which, in the last 7 years, You owned or had an interest, indicate (i) the name and address of the person (e.g., stockbroker, broker, investment advisor, wealth manager, or other) in possession of each such security/instrument/investment, (ii) the nature and degree of Your current interest with respect thereto, (iii) the nature and degree of Your highest/largest interest with respect thereto in the last 7 years, (iv) if applicable, the date on which You ceased to have such interest, and (vi) if applicable, the total consideration You received in exchange for the sale, transfer, assignment, or disposal of such interest.

Answer 7:

~~None~~
Options: Validsoft (Private Company)
Carta less than 0.01% Not liquid

Question 8: For every safety deposit box or safe deposit box which You own or in which You have an interest or access, or which, in the last 7 years, You owned or had an interest or access, indicate (i) the number or other designation of such box, (ii) the name(s) or designation of the lessee(s) thereof, (iii) the specific location of such box, (iv) the date hired, (v) the date discontinued, and (vi) the names of those having access thereto.

Answer 8: None

Question 9: Do You own or have, or, in the last 7 years, have you owned or had, any real property or any interest or estate in any real property?

Answer 9: Yes

Question 10: If Your response to Question 9 is "yes," for each such real property, indicate (i) the exact address and location of such real property, (ii) the date You acquired every interest or estate therein, (iii) the total purchase price or consideration You paid for such interest or estate, and (iv) if applicable, the total consideration You received in exchange for the sale, transfer, assignment, or disposal of such interest or estate.

Answer 10: 151 Weeburn Dr. New Canaan, Ct 06840
Bot 8/4/2004 1,549,000
Sold 12/21/21 1,716,000
160,000 Gain offset IRS + cost, net \$37,000

Question 11: For every corporation, professional corporation, company, limited liability company, professional company, partnership, or association in which, or with respect to which, you now have (or in the last 7 years had) any stock, share, membership, ownership, equity, or partnership interest amounting to a 10% or greater ownership, equity, membership, or partnership interest therein or with respect thereto, indicate: (i) the exact name of the entity and every name by which it has been known in the last 7 years, (ii) its principal place of business and every principal place of business it has had in the last 7 years, (iii) the jurisdiction under which it was/is organized or under which it exists, (iv) Your current percent ownership, membership, or equity interest therein, (v) the largest percent ownership, membership or equity interest You had therein in the last 7 years, (vi) if applicable, the date you ceased to have an ownership, membership or equity interest therein, (vii) if applicable, the total consideration You received in exchange for the sale, transfer, assignment, or disposal of such ownership, membership or equity interest.

Answer 11: Disruption Capital Partners LLC 50%
777 South Flagler 800W
West Palm Beach, Fla 33401
Started 3/2/2022 3
Ended 4/15/2023 \$0 consideration

Question 12: Do you currently have, or have you in the last 7 years had, any interest in any gold or silver bullion, coins, or certificates? If "yes," describe in detail (i) the nature and degree of Your current interest with respect thereto, (ii) the nature and degree of Your highest/largest interest with respect thereto in the last 7 years, (iv) if applicable, the date on which You ceased to have such interest, and (v) if applicable, the total consideration You received in exchange for the sale, transfer, assignment, or disposal of such interest.

Answer 12: No

Question 13: Do You currently have, or have You in the last 7 years had, any interest in any car, truck, boat, vessel, airplane, or aircraft? If "yes," for each such car, truck, boat, vessel, and airplane, describe in detail (i) its manufacturer, make, model, and year, (ii) its identification number or registration number, (iii) the usual or regular place or places where it is kept, stored, and is located currently, (iv) if applicable, the date on which You ceased to have an interest therein, and (v) if applicable, the total consideration You received in exchange for the sale, transfer, assignment, or disposal of such interest.

Answer 13: 16 ft Boston Whaler 2000
Given away in 2021 \$0

Question 14: Do you currently have, or have you in the last 7 years had, any interest in any jewel, gem, jewelry, or wristwatch which You purchased for more than \$25,000, which is worth more than more than \$25,000, or which you believe may be, or once was, worth more than \$25,000? If "yes," for each such jewel, gem, jewelry, or wristwatch, (i) describe the item in detail (including by indicating its manufacturer/brand, the material(s) of which it is made, the purity of its materials, and its carat), (ii) indicate the usual or regular place or places where it is kept, stored, and is located currently, (iii) if applicable, indicate the date on which You ceased to have an interest therein, and (iv) if applicable, indicate the total consideration You received in exchange for the sale, transfer, assignment, or disposal of such interest.

Answer 14: None

Question 15: Do you currently have, or have you in the last 7 years had, any interest in any work of art, painting, or sculpture which You purchased for more than \$25,000, which is worth more than more than \$25,000, or which you believe may be, or once was, worth more than \$25,000? If "yes," for each such work of art, painting, or sculpture, (i) describe the item in detail (including by indicating its artist or creator, the material(s) of which it is made, and the year it was made), (ii) indicate the usual or regular place or places where it is kept, stored, and is located currently, (iii) if applicable, indicate the date on which You ceased to have an interest therein, and (iv) if applicable, indicate the total consideration You received in exchange for the sale, transfer, assignment, or disposal of such interest.

Answer 15: *None*

Question 16: Are You currently owed any debt in excess of \$25,000? If so, describe the identity of the debtor, the location of the debtor, the circumstance under which the debt arose, and the nature and amount of the debt.

Answer 16: *None*

Question 17: Are You currently, have You been in the last 7 years, or do You expect to be in the next 7 years, the beneficiary of any trust or trust equivalent? If "yes," indicate the name of each such trust, describe the nature and amount of the assets of each such trust, the name of the trustees, and the address of the trustee and trust.

Answer 17: *None*

Question 18: For every employer, company, person or source from which You received, in the aggregate, \$100,000 or more during any 12-month period in the last 7 years, indicate the name and address of such employer, company, person or source.

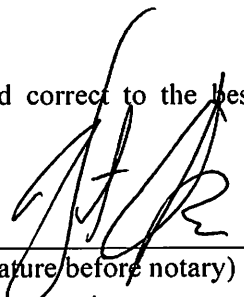
Answer 18: *AX Trading Group, Inc.
1501 Broadway N.Y. N.Y. 10021*

Question 19: For every company, entity, or person to which You transferred or conveyed, in the aggregate, \$50,000 or more during any 12-month period in the last 7 years, indicate the name and address of every such company, entity, and person.

Answer 19: *None*

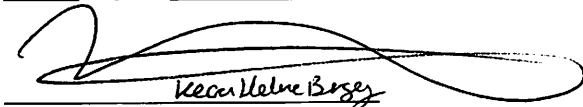
The answers herein provided to the above questions are true and correct to the best of my knowledge and belief.

Date: 03/12/2024


(signature before notary)
Victor Angermüller
(name; printed)

Sworn to before me this

12 day of March, 2024


Keen Helene Bergey
NOTARY PUBLIC



RETURN ORIGINAL ANSWERS TO:
ZACHARY D. KUPERMAN, ESQ.
C/O ABRAMS FENSTERMAN LLP
1 METROTECH CENTER, 17TH FLOOR
BROOKLYN, NY 11201

EXEMPTION NOTICE
as required by New York Law

YOUR BANK ACCOUNT IS RESTRAINED OR "FROZEN"

The attached Restraining Notice or notice of Levy by Execution has been issued against your bank account. You are receiving this notice because a creditor has obtained a money judgment against you, and one or more of your bank accounts has been restrained to pay the judgment. A money judgment is a court's decision that you owe money to a creditor. You should be aware that FUTURE DEPOSITS into your account(s) might also be restrained if you do not respond to this notice.

You may be able to "vacate" (remove) the judgment. If the judgment is vacated, your bank account will be released. Consult an attorney (including free legal services) or visit the court clerk for more information about how to do this.

Under state and federal law, certain types of funds cannot be taken from your bank account to pay a judgment. Such money is said to be "exempt."

DOES YOUR BANK ACCOUNT CONTAIN ANY OF THE FOLLOWING TYPES OF FUNDS?

1. Social security;
2. Social security disability (SSD);
3. Supplemental security income (SSI);
4. Public assistance (welfare);
5. Income earned while receiving SSI or public assistance;
6. Veterans benefits;
7. Unemployment insurance;
8. Payments from pensions and retirement accounts;
9. Disability benefits;
10. Income earned in the last 60 days (90% of which is exempt);
11. Workers' compensation benefits;
12. Child support;
13. Spousal support or maintenance (alimony);
14. Railroad retirement;
15. Black lung benefits; and/or
16. COVID-19 stimulus relief for individuals and families with children.

If YES, you can claim that your money is exempt and cannot be taken. To make the claim, you must

- (a) complete the EXEMPTION CLAIM FORM attached;
- (b) deliver or mail the form to the bank with the restrained or "frozen" account; and
- (c) deliver or mail the form to the creditor or its attorney at the address listed on the form.

You must send the forms within 20 DAYS of the postmarked date on the envelope holding this notice. You may be able to get your account released faster if you send to the creditor or its attorney written proof that your money is exempt. Proof can include an award letter from the government, an annual statement from your pension, pay stubs, copies of checks, bank records showing the last two months of account activity, or other papers showing that the money in your bank account is exempt. If you send the creditor's attorney proof that the money in your account is exempt, the attorney must release that money within seven days. You do not need an attorney to make an exemption claim using the form.

VE4
3/10/24

SUPREME COURT OF THE STATE OF NEW YORK
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WALTER ARNSTEIN, INC.,

Plaintiffs,

-against-

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Index No. 654254/2019

EXEMPTION CLAIM FORM

NAME AND ADDRESS OF JUDGMENT
CREDITOR OR ATTORNEY
(To be completed by judgment creditor or
attorney)

ADDRESS A

ABRAMS FENSTERMAN, LLP
Zachary D. Kuperman, Esq.
1 MetroTech Center, Suite 1701
Brooklyn, New York 11201

NAME AND ADDRESS OF FINANCIAL
INSTITUTION
(To be completed by financial institution)

ADDRESS B

Venmo Acct

Direct Deposit

Directions: To claim that some or all of the funds in your account are exempt, complete both copies of this form, and make one copy for yourself. Mail or deliver one form to ADDRESS A and one form to ADDRESS B within 20 days of the date on the envelope holding this notice. **If you have any documents, such as an award letter, an annual statement from your pension, paystubs, copies of checks or bank records showing the last two months of account activity, include copies of the documents with this form. Your account may be released more quickly.

I state that my account contains the following type(s) of funds (check all that apply):

- ☒ Social Security [REDACTED]
☐ Social Security Disability (SSD)
☐ Supplemental Security Income (SSI)
☐ Public assistance
☐ Wages while receiving SSI or public assistance
☐ Veterans benefits

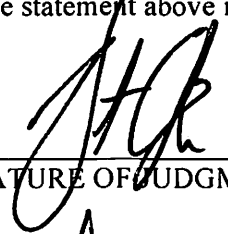
- ☐ Unemployment insurance
- ☐ Payments from pensions and retirement accounts
- ☐ Income earned in the last 60 days (90% of which is exempt)
- ☐ Child support
- ☐ Spousal support or maintenance (alimony)
- ☐ Workers' compensation
- ☐ Railroad retirement or black lung benefit
- ☐ COVID-19 stimulus relief for individuals and families with children
- ☐ Other (describe exemption):

I request that any correspondence to me regarding my claim be sent to the following address:

32 S. Hing Sun Dr., Westerly, R.I. 02891
(FILL IN YOUR COMPLETE ADDRESS)

I certify under penalty of perjury that the statement above is true to the best of my knowledge and belief.

Date: March 10, 2024


(SIGNATURE OF JUDGMENT DEBTOR)

Victor Angermueller